

Introduction to Trees

Grzegorz Bancerek¹
Warsaw University
Białystok

Summary. The article consists of two parts: the first one deals with the concept of the prefixes of a finite sequence, the second one introduces and deals with the concept of tree. Besides some auxiliary propositions concerning finite sequences are presented. The trees are introduced as non-empty sets of finite sequences of natural numbers which are closed on prefixes and on sequences of less numbers (i.e. if $\langle n_1, n_2, \dots, n_k \rangle$ is a vertex (element) of a tree and $m_i \leq n_i$ for $i = 1, 2, \dots, k$, then $\langle m_1, m_2, \dots, m_k \rangle$ also is). Finite trees, elementary trees with n leaves, the leaves and the subtrees of a tree, the inserting of a tree into another tree, with a node used for determining the place of insertion, antichains of prefixes, and height and width of finite trees are introduced.

MML Identifier: TREES_1.

The notation and terminology used in this paper have been introduced in the following papers: [8], [7], [2], [5], [4], [6], [3], and [1]. For simplicity we adopt the following rules: D is a non-empty set, X is a set, x, y are arbitrary, k, n are natural numbers, and p, q, r are finite sequences of elements of \mathbb{N} . We now state several propositions:

- (1) For all finite sequences p, q such that $q = p \upharpoonright \text{Seg } n$ holds $\text{len } q \leq n$.
- (2) For all finite sequences p, q such that $q = p \upharpoonright \text{Seg } n$ holds $\text{len } q \leq \text{len } p$.
- (3) For all finite sequences p, r such that $r = p \upharpoonright \text{Seg } n$ there exists q being a finite sequence such that $p = r \hat{\ } q$.
- (4) $\varepsilon \neq \langle x \rangle$.
- (5) For all finite sequences p, q such that $p = p \hat{\ } q$ or $p = q \hat{\ } p$ holds $q = \varepsilon$.
- (6) For all finite sequences p, q such that $p \hat{\ } q = \langle x \rangle$ holds $p = \langle x \rangle$ and $q = \varepsilon$ or $p = \varepsilon$ and $q = \langle x \rangle$.

¹Partially supported by Le Hodey Foundation. The part of this work had been done on Mizar Workshop '89 (Fourdrain, France) in Summer '89.

Let p, q be finite sequences. The predicate $p \preceq q$ is defined by:
there exists n such that $p = q \upharpoonright \text{Seg } n$.

We now state a number of propositions:

- (7) For all finite sequences p, q holds $p \preceq q$ if and only if there exists n such that $p = q \upharpoonright \text{Seg } n$.
- (8) For all finite sequences p, q holds $p \preceq q$ if and only if there exists r being a finite sequence such that $q = p \hat{\ } r$.
- (9) For all finite sequences p, q such that $p \preceq q$ holds $\text{len } p \leq \text{len } q$.
- (10) For every finite sequence p holds $\varepsilon \preceq p$ and $\varepsilon_D \preceq p$.
- (11) For every finite sequence p such that $p \preceq \varepsilon$ holds $p = \varepsilon$.
- (12) For every finite sequence p holds $p \preceq p$.
- (13) For all finite sequences p, q such that $p \preceq q$ and $q \preceq p$ holds $p = q$.
- (14) For all finite sequences p, q, r such that $p \preceq q$ and $q \preceq r$ holds $p \preceq r$.
- (15) For all finite sequences p, q such that $p \preceq q$ and $\text{len } p = \text{len } q$ holds $p = q$.
- (16) $\langle x \rangle \preceq \langle y \rangle$ if and only if $x = y$.

We now define two new predicates. Let p, q be finite sequences. The predicate p and q are comparable is defined by:

$$p \preceq q \text{ or } q \preceq p.$$

The predicate $p \prec q$ is defined by:

$$p \preceq q \text{ and } p \neq q.$$

One can prove the following propositions:

- (17) For all finite sequences p, q holds p and q are comparable if and only if $p \preceq q$ or $q \preceq p$.
- (18) For all finite sequences p, q holds $p \prec q$ if and only if $p \preceq q$ and $p \neq q$.
- (19) For all finite sequences p, q such that p and q are comparable and $\text{len } p = \text{len } q$ holds $p = q$.
- (20) For all finite sequences p, q holds $p \prec q$ or $p = q$ or $q \prec p$ if and only if p and q are comparable.
- (21) For every finite sequence p holds p and p are comparable.

In the sequel p_1, p_2 will be finite sequences. Next we state a number of propositions:

- (22) If p_1 and p_2 are comparable, then p_2 and p_1 are comparable.
- (23) $\langle x \rangle$ and $\langle y \rangle$ are comparable if and only if $x = y$.
- (24) For all finite sequences p, q such that $p \prec q$ holds $\text{len } p < \text{len } q$.
- (25) For no finite sequence p holds $p \prec \varepsilon$ or $p \prec \varepsilon_D$.
- (26) For no finite sequences p, q holds $p \prec q$ and $q \prec p$.
- (27) For all finite sequences p, q, r such that $p \prec q$ and $q \prec r$ or $p \prec q$ and $q \preceq r$ or $p \preceq q$ and $q \prec r$ holds $p \prec r$.
- (28) If $p_1 \preceq p_2$, then $p_2 \not\prec p_1$.
- (29) If $p_1 \prec p_2$, then $p_2 \not\prec p_1$.
- (30) If $p_1 \hat{\ } \langle x \rangle \preceq p_2$, then $p_1 \prec p_2$.

- (31) If $p_1 \preceq p_2$, then $p_1 \prec p_2 \hat{\ } \langle x \rangle$.
- (32) If $p_1 \prec p_2 \hat{\ } \langle x \rangle$, then $p_1 \preceq p_2$.
- (33) If $\varepsilon \prec p_2$ or $\varepsilon \neq p_2$, then $p_1 \prec p_1 \hat{\ } p_2$.

Let p be a finite sequence. The functor $\text{Seg}_{\preceq}(p)$ yielding a set, is defined by:
 $x \in \text{Seg}_{\preceq}(p)$ if and only if there exists q being a finite sequence such that $x = q$ and $q \prec p$.

The following propositions are true:

- (34) For every finite sequence p holds $X = \text{Seg}_{\preceq}(p)$ if and only if for every x holds $x \in X$ if and only if there exists q being a finite sequence such that $x = q$ and $q \prec p$.
- (35) For every finite sequence p such that $x \in \text{Seg}_{\preceq}(p)$ holds x is a finite sequence.
- (36) For all finite sequences p, q holds $p \in \text{Seg}_{\preceq}(q)$ if and only if $p \prec q$.
- (37) For all finite sequences p, q such that $p \in \text{Seg}_{\preceq}(q)$ holds $\text{len } p < \text{len } q$.
- (38) For all finite sequences p, q, r such that $q \hat{\ } r \in \text{Seg}_{\preceq}(p)$ holds $q \in \text{Seg}_{\preceq}(p)$.
- (39) $\text{Seg}_{\preceq}(\varepsilon) = \emptyset$.
- (40) $\text{Seg}_{\preceq}(\langle x \rangle) = \{\varepsilon\}$.
- (41) For all finite sequences p, q such that $p \preceq q$ holds $\text{Seg}_{\preceq}(p) \subseteq \text{Seg}_{\preceq}(q)$.
- (42) For all finite sequences p, q, r such that $q \in \text{Seg}_{\preceq}(p)$ and $r \in \text{Seg}_{\preceq}(p)$ holds q and r are comparable.

The mode tree, which widens to the type a non-empty set, is defined by:
 $\text{it} \subseteq \mathbb{N}^*$ and for every p such that $p \in \text{it}$ holds $\text{Seg}_{\preceq}(p) \subseteq \text{it}$ and for all p, k, n such that $p \hat{\ } \langle k \rangle \in \text{it}$ and $n \leq k$ holds $p \hat{\ } \langle n \rangle \in \text{it}$.

Next we state a proposition

- (43) D is a tree if and only if $D \subseteq \mathbb{N}^*$ and for every p such that $p \in D$ holds $\text{Seg}_{\preceq}(p) \subseteq D$ and for all p, k, n such that $p \hat{\ } \langle k \rangle \in D$ and $n \leq k$ holds $p \hat{\ } \langle n \rangle \in D$.

In the sequel T, T_1 denote trees. The following proposition is true

- (44) If $x \in T$, then x is a finite sequence of elements of \mathbb{N} .

Let us consider T . We see that it makes sense to consider the following mode for restricted scopes of arguments. Then all the objects of the mode element of T are a finite sequence of elements of \mathbb{N} .

The following propositions are true:

- (45) For all finite sequences p, q such that $p \in T$ and $q \preceq p$ holds $q \in T$.
- (46) For every finite sequence r such that $q \hat{\ } r \in T$ holds $q \in T$.
- (47) $\varepsilon \in T$ and $\varepsilon_{\mathbb{N}} \in T$.
- (48) $\{\varepsilon\}$ is a tree.
- (49) $T \cup T_1$ is a tree.
- (50) $T \cap T_1$ is a tree.

The mode finite tree, which widens to the type a tree, is defined by:
it is finite.

The following proposition is true

(51) T is a finite tree if and only if T is finite.

In the sequel fT , fT_1 will be finite trees. Next we state two propositions:

(52) $fT \cup fT_1$ is a finite tree.

(53) $fT \cap T$ is a finite tree and $T \cap fT$ is a finite tree.

Let us consider n . The functor elementary tree of n yielding a finite tree, is defined by:

elementary tree of $n = \{\langle k \rangle : k < n\} \cup \{\varepsilon\}$.

The following propositions are true:

(54) $fT =$ elementary tree of n if and only if $fT = \{\langle k \rangle : k < n\} \cup \{\varepsilon\}$.

(55) If $k < n$, then $\langle k \rangle \in$ elementary tree of n .

(56) elementary tree of $0 = \{\varepsilon\}$.

(57) If $p \in$ elementary tree of n , then $p = \varepsilon$ or there exists k such that $k < n$ and $p = \langle k \rangle$.

We now define two new functors. Let us consider T . The functor Leaves T yields a subset of T and is defined by:

$p \in$ Leaves T if and only if $p \in T$ and for no q holds $q \in T$ and $p \prec q$.

Let us consider p . Let us assume that $p \in T$. The functor $T \upharpoonright p$ yields a tree and is defined by:

$q \in T \upharpoonright p$ if and only if $p \wedge q \in T$.

We now state three propositions:

(58) For every subset X of T holds $X =$ Leaves T if and only if for every p holds $p \in X$ if and only if $p \in T$ and for no q holds $q \in T$ and $p \prec q$.

(59) If $p \in T$, then $T_1 = T \upharpoonright p$ if and only if for every q holds $q \in T_1$ if and only if $p \wedge q \in T$.

(60) $T \upharpoonright \varepsilon_{\mathbb{N}} = T$.

The arguments of the notions defined below are the following: T which is a finite tree; p which is an element of T . Then $T \upharpoonright p$ is a finite tree.

Let us consider T . Let us assume that Leaves $T \neq \emptyset$. The mode leaf of T , which widens to the type an element of T , is defined by:

$it \in$ Leaves T .

We now state a proposition

(61) If Leaves $T \neq \emptyset$, then for every element p of T holds p is a leaf of T if and only if $p \in$ Leaves T .

Let us consider T . The mode subtree of T , which widens to the type a tree, is defined by:

there exists p being an element of T such that $it = T \upharpoonright p$.

One can prove the following proposition

(62) T_1 is a subtree of T if and only if there exists p being an element of T such that $T_1 = T \upharpoonright p$.

In the sequel t is an element of T . Let us consider T , p , T_1 . Let us assume that $p \in T$. The functor $T(p/T_1)$ yields a tree and is defined by:

$q \in T(p/T_1)$ if and only if $q \in T$ and $p \not\prec q$ or there exists r such that $r \in T_1$ and $q = p \wedge r$.

In the sequel T_2 is a tree. Next we state four propositions:

- (63) If $p \in T_1$, then $T = T_1(p/T_2)$ if and only if for every q holds $q \in T$ if and only if $q \in T_1$ and $p \not\prec q$ or there exists r such that $r \in T_2$ and $q = p \wedge r$.
- (64) If $p \in T$, then $T(p/T_1) = \{t_1 : p \not\prec t_1\} \cup \{p \wedge s : s \in T_1\}$.
- (65) If $p \in T$ and $q \in T_1$, then $p \wedge q \in T(p/T_1)$.
- (66) If $p \in T$, then $T_1 = (T(p/T_1)) \upharpoonright p$.

The arguments of the notions defined below are the following: T which is a finite tree; t which is an element of T ; T_1 which is a finite tree. Then $T(t/T_1)$ is a finite tree.

In the sequel w will denote a finite sequence. The following two propositions are true:

- (67) For every finite sequence p holds $\text{Seg}_{\preceq}(p) \approx \text{Seg}(\text{len } p)$.
- (68) For every finite sequence p holds $\text{card}(\text{Seg}_{\preceq}(p)) = \text{len } p$.

The mode antichain of prefixes, which widens to the type a set, is defined by: for every x such that $x \in$ it holds x is a finite sequence and for all p_1, p_2 such that $p_1 \in$ it and $p_2 \in$ it and $p_1 \neq p_2$ holds p_1 and p_2 are not comparable.

Next we state three propositions:

- (69) X is an antichain of prefixes if and only if for every x such that $x \in X$ holds x is a finite sequence and for all p_1, p_2 such that $p_1 \in X$ and $p_2 \in X$ and $p_1 \neq p_2$ holds p_1 and p_2 are not comparable.
- (70) $\{w\}$ is an antichain of prefixes.
- (71) If p_1 and p_2 are not comparable, then $\{p_1, p_2\}$ is an antichain of prefixes.

Let us consider T . The mode antichain of prefixes of T , which widens to the type an antichain of prefixes, is defined by:

it $\subseteq T$.

We now state a proposition

- (72) For every antichain S of prefixes holds S is an antichain of prefixes of T if and only if $S \subseteq T$.

In the sequel t_1, t_2 will be elements of T . The following three propositions are true:

- (73) \emptyset is an antichain of prefixes of T and $\{\varepsilon\}$ is an antichain of prefixes of T .
- (74) $\{t\}$ is an antichain of prefixes of T .
- (75) If t_1 and t_2 are not comparable, then $\{t_1, t_2\}$ is an antichain of prefixes of T .

We now define two new functors. Let T be a finite tree. The functor height T yields a natural number and is defined by:

there exists p such that $p \in T$ and $\text{len } p = \text{height } T$ and for every p such that $p \in T$ holds $\text{len } p \leq \text{height } T$.

The functor width T yielding a natural number, is defined by:

there exists X being an antichain of prefixes of T such that $\text{width } T = \text{card } X$ and for every antichain Y of prefixes of T holds $\text{card } Y \leq \text{card } X$.

We now state three propositions:

- (76) For every finite tree T for every n holds $n = \text{height } T$ if and only if there exists p such that $p \in T$ and $\text{len } p = n$ and for every p such that $p \in T$ holds $\text{len } p \leq n$.
- (77) For every finite tree T for every n holds $n = \text{width } T$ if and only if there exists X being an antichain of prefixes of T such that $n = \text{card } X$ and for every antichain Y of prefixes of T holds $\text{card } Y \leq \text{card } X$.
- (78) $1 \leq \text{width } fT$.

The following propositions are true:

- (79) $\text{height}(\text{elementary tree of } 0) = 0$.
- (80) If $\text{height } fT = 0$, then $fT = \text{elementary tree of } 0$.
- (81) $\text{height}(\text{elementary tree of } (n + 1)) = 1$.
- (82) $\text{width}(\text{elementary tree of } 0) = 1$.
- (83) $\text{width}(\text{elementary tree of } (n + 1)) = n + 1$.
- (84) For every element t of fT holds $\text{height}(fT \upharpoonright t) \leq \text{height } fT$.
- (85) For every element t of fT such that $t \neq \varepsilon$ holds $\text{height}(fT \upharpoonright t) < \text{height } fT$.

The scheme *Tree_Ind* deals with a unary predicate \mathcal{P} and states that:
for every fT holds $\mathcal{P}[fT]$

provided the parameter satisfies the following condition:

- for every fT such that for every n such that $\langle n \rangle \in fT$ holds $\mathcal{P}[fT \upharpoonright \langle n \rangle]$ holds $\mathcal{P}[fT]$.

References

- [1] Grzegorz Bancerek. Cardinal numbers. *Formalized Mathematics*, 1(2):377–382, 1990.
- [2] Grzegorz Bancerek. The fundamental properties of natural numbers. *Formalized Mathematics*, 1(1):41–46, 1990.
- [3] Grzegorz Bancerek. Zermelo theorem and axiom of choice. *Formalized Mathematics*, 1(2):265–267, 1990.
- [4] Grzegorz Bancerek and Krzysztof Hryniewiecki. Segments of natural numbers and finite sequences. *Formalized Mathematics*, 1(1):107–114, 1990.
- [5] Czesław Byliński. Functions and their basic properties. *Formalized Mathematics*, 1(1):55–65, 1990.
- [6] Agata Darmochwał. Finite sets. *Formalized Mathematics*, 1(1):165–167, 1990.

- [7] Krzysztof Hryniewiecki. Basic properties of real numbers. *Formalized Mathematics*, 1(1):35–40, 1990.
- [8] Andrzej Trybulec. Tarski Grothendieck set theory. *Formalized Mathematics*, 1(1):9–11, 1990.

Received October 25, 1989
