

# On Some Equivalents of Well-foundedness<sup>1</sup>

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**Summary.** Four statements equivalent to well-foundedness (well-founded induction, existence of recursively defined functions, uniqueness of recursively defined functions, and absence of descending  $\omega$ -chains) have been proved in Mizar and the proofs were mechanically checked for correctness. It seems not to be widely known that the existence (without the uniqueness assumption) of recursively defined functions implies well-foundedness. In the proof we used regular cardinals, a fairly advanced notion of set theory. This work was inspired by T. Franzen's paper [17]. Franzen's proofs were written by a mathematician having an argument with a computer scientist. We were curious about the effort needed to formalize Franzen's proofs given the state of the Mizar Mathematical Library at that time (July 1996). The formalization went quite smoothly once the mathematics was sorted out.

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The articles [23], [3], [25], [14], [26], [11], [19], [27], [13], [12], [21], [4], [6], [5], [16], [2], [1], [24], [22], [9], [10], [20], [7], [15], [18], and [8] provide the terminology and notation for this paper.

## 1. PRELIMINARIES

Let  $R$  be a 1-sorted structure, let  $X$  be a set, and let  $p$  be a partial function from the carrier of  $R$  to  $X$ . Then  $\text{dom } p$  is a subset of  $R$ .

Next we state two propositions:

- (1) For every set  $X$  and for all functions  $f, g$  such that  $f \subseteq g$  and  $X \subseteq \text{dom } f$  holds  $f \upharpoonright X = g \upharpoonright X$ .

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- (2) Let  $X$  be a functional set. Suppose that for all functions  $f, g$  such that  $f \in X$  and  $g \in X$  holds  $f \approx g$ . Then  $\bigcup X$  is a function.

The scheme *PFSeparation* concerns sets  $\mathcal{A}, \mathcal{B}$  and a unary predicate  $\mathcal{P}$ , and states that:

There exists a subset  $P_1$  of  $\mathcal{A} \dot{\rightarrow} \mathcal{B}$  such that for every partial function  $p_1$  from  $\mathcal{A}$  to  $\mathcal{B}$  holds  $p_1 \in P_1$  iff  $\mathcal{P}[p_1]$

for all values of the parameters.

Let  $X$  be a set. Observe that  $X^+$  is non empty.

Let us note that there exists an aleph which is regular.

One can prove the following two propositions:

- (3) For every regular aleph  $M$  and for every set  $X$  such that  $X \subseteq M$  and  $\overline{\overline{X}} \in M$  holds  $\sup X \in M$ .
- (4) For every relational structure  $R$  and for every set  $x$  holds (the internal relation of  $R$ )- $\text{Seg}(x) \subseteq$  the carrier of  $R$ .

Let  $R$  be a relational structure and let  $X$  be a subset of  $R$ . Let us observe that  $X$  is lower if and only if:

- (Def. 1) For all sets  $x, y$  such that  $x \in X$  and  $\langle y, x \rangle \in$  the internal relation of  $R$  holds  $y \in X$ .

Next we state two propositions:

- (5) Let  $R$  be a relational structure,  $X$  be a subset of  $R$ , and  $x$  be a set. If  $X$  is lower and  $x \in X$ , then (the internal relation of  $R$ )- $\text{Seg}(x) \subseteq X$ .
- (6) Let  $R$  be a relational structure,  $X$  be a lower subset of  $R$ ,  $Y$  be a subset of  $R$ , and  $x$  be a set. If  $Y = X \cup \{x\}$  and (the internal relation of  $R$ )- $\text{Seg}(x) \subseteq X$ , then  $Y$  is lower.

## 2. WELL FOUNDED RELATIONAL STRUCTURES

Let  $R$  be a relational structure. We say that  $R$  is well founded if and only if:

- (Def. 2) The internal relation of  $R$  is well founded in the carrier of  $R$ .

Let us mention that there exists a relational structure which is non empty and well founded.

Let  $R$  be a relational structure and let  $X$  be a subset of  $R$ . We say that  $X$  is well founded if and only if:

- (Def. 3) The internal relation of  $R$  is well founded in  $X$ .

Let  $R$  be a relational structure. Note that there exists a subset of  $R$  which is well founded.

Let  $R$  be a relational structure. The functor  $\text{WF-Part}(R)$  yielding a subset of  $R$  is defined by:

(Def. 4)  $\text{WF-Part}(R) = \bigcup\{S, S \text{ ranges over subsets of } R: S \text{ is well founded and lower}\}$ .

Let  $R$  be a relational structure. One can verify that  $\text{WF-Part}(R)$  is lower and well founded.

One can prove the following four propositions:

- (7) Let  $R$  be a non empty relational structure and  $x$  be an element of the carrier of  $R$ . Then  $\{x\}$  is a well founded subset of  $R$ .
- (8) Let  $R$  be a relational structure and  $X, Y$  be well founded subsets of  $R$ . If  $X$  is lower, then  $X \cup Y$  is a well founded subset of  $R$ .
- (9) For every relational structure  $R$  holds  $R$  is well founded iff  $\text{WF-Part}(R) = \text{the carrier of } R$ .
- (10) Let  $R$  be a non empty relational structure and  $x$  be an element of the carrier of  $R$ . If (the internal relation of  $R$ )- $\text{Seg}(x) \subseteq \text{WF-Part}(R)$ , then  $x \in \text{WF-Part}(R)$ .

The scheme *WFMin* deals with a non empty relational structure  $\mathcal{A}$ , an element  $\mathcal{B}$  of  $\mathcal{A}$ , and a unary predicate  $\mathcal{P}$ , and states that:

There exists an element  $x$  of  $\mathcal{A}$  such that  $\mathcal{P}[x]$  and it is not true that there exists an element  $y$  of  $\mathcal{A}$  such that  $x \neq y$  and  $\mathcal{P}[y]$  and  $\langle y, x \rangle \in \text{the internal relation of } \mathcal{A}$

provided the parameters meet the following requirements:

- $\mathcal{P}[\mathcal{B}]$ , and
- $\mathcal{A}$  is well founded.

We now state the proposition

- (11) Let  $R$  be a non empty relational structure. Then  $R$  is well founded if and only if for every set  $S$  such that for every element  $x$  of the carrier of  $R$  such that (the internal relation of  $R$ )- $\text{Seg}(x) \subseteq S$  holds  $x \in S$  holds the carrier of  $R \subseteq S$ .

The scheme *WFInduction* deals with a non empty relational structure  $\mathcal{A}$  and a unary predicate  $\mathcal{P}$ , and states that:

For every element  $x$  of  $\mathcal{A}$  holds  $\mathcal{P}[x]$

provided the parameters meet the following conditions:

- Let  $x$  be an element of  $\mathcal{A}$ . Suppose that for every element  $y$  of  $\mathcal{A}$  such that  $y \neq x$  and  $\langle y, x \rangle \in \text{the internal relation of } \mathcal{A}$  holds  $\mathcal{P}[y]$ . Then  $\mathcal{P}[x]$ , and
- $\mathcal{A}$  is well founded.

Let  $R$  be a non empty relational structure, let  $V$  be a non empty set, let  $H$  be a function from  $[\text{the carrier of } R, (\text{the carrier of } R) \rightarrow V]$  into  $V$ , and let  $F$  be a function. We say that  $F$  is recursively expressed by  $H$  if and only if:

(Def. 5) For every element  $x$  of the carrier of  $R$  holds  $F(x) = H(\langle x, F \upharpoonright (\text{the internal relation of } R)\text{-Seg}(x) \rangle)$ .

One can prove the following propositions:

- (12) Let  $R$  be a non empty relational structure. Then  $R$  is well founded if and only if for every non empty set  $V$  and for every function  $H$  from  $[\text{the carrier of } R, (\text{the carrier of } R) \dot{\rightarrow} V]$  into  $V$  holds there exists a function from the carrier of  $R$  into  $V$  which is recursively expressed by  $H$ .
- (13) Let  $R$  be a non empty relational structure and  $V$  be a non trivial set. Suppose that for every function  $H$  from  $[\text{the carrier of } R, (\text{the carrier of } R) \dot{\rightarrow} V]$  into  $V$  and for all functions  $F_1, F_2$  from the carrier of  $R$  into  $V$  such that  $F_1$  is recursively expressed by  $H$  and  $F_2$  is recursively expressed by  $H$  holds  $F_1 = F_2$ . Then  $R$  is well founded.
- (14) Let  $R$  be a non empty well founded relational structure,  $V$  be a non empty set,  $H$  be a function from  $[\text{the carrier of } R, (\text{the carrier of } R) \dot{\rightarrow} V]$  into  $V$ , and  $F_1, F_2$  be functions from the carrier of  $R$  into  $V$ . Suppose  $F_1$  is recursively expressed by  $H$  and  $F_2$  is recursively expressed by  $H$ . Then  $F_1 = F_2$ .

Let  $S$  be a set. Let us assume that *contradiction*.<sup>2</sup>

(Def. 6)  $\text{choose}(S)$  is an element of  $S$ .

Let  $R$  be a relational structure and let  $f$  be a sequence of  $R$ . We say that  $f$  is descending if and only if:

(Def. 7) For every natural number  $n$  holds  $f(n+1) \neq f(n)$  and  $\langle f(n+1), f(n) \rangle \in$  the internal relation of  $R$ .

One can prove the following proposition

- (15) For every non empty relational structure  $R$  holds  $R$  is well founded iff there exists no sequence of  $R$  which is descending.

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<sup>2</sup>This definition is absolutely permissive, i.e. we assume a *contradiction*, but we are interested only in the type of the functor ‘choose’.

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