

# On Defining Functions on Binary Trees <sup>1</sup>

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**Summary.** This article is a continuation of an article on defining functions on trees (see [6]). In this article we develop terminology specialized for binary trees, first defining binary trees and binary grammars. We recast the induction principle for the set of parse trees of binary grammars and the scheme of defining functions inductively with the set as domain. We conclude with defining the scheme of defining such functions by lambda-like expressions.

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The terminology and notation used here are introduced in the following articles: [12], [14], [15], [13], [8], [9], [5], [7], [11], [10], [1], [3], [4], [2], and [6].

Let  $D$  be a non empty set and let  $t$  be a tree decorated with elements of  $D$ . The root label of  $t$  is an element of  $D$  and is defined by:

(Def.1) The root label of  $t = t(\varepsilon)$ .

One can prove the following two propositions:

- (1) Let  $D$  be a non empty set and let  $t$  be a tree decorated with elements of  $D$ . Then the roots of  $\langle t \rangle = \langle \text{the root label of } t \rangle$ .
- (2) Let  $D$  be a non empty set and let  $t_1, t_2$  be trees decorated with elements of  $D$ . Then the roots of  $\langle t_1, t_2 \rangle = \langle \text{the root label of } t_1, \text{ the root label of } t_2 \rangle$ .

A tree is binary if:

(Def.2) For every element  $t$  of it such that  $t \notin \text{Leaves(it)}$  holds  $\text{succ } t = \{t \hat{\ } \langle 0 \rangle, t \hat{\ } \langle 1 \rangle\}$ .

The following propositions are true:

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- (3) For every tree  $T$  and for every element  $t$  of  $T$  holds  $t \in \text{Leaves}(T)$  iff  $t \wedge \langle 0 \rangle \notin T$ .
- (4) For every tree  $T$  and for every element  $t$  of  $T$  holds  $t \in \text{Leaves}(T)$  iff it is not true that there exists a natural number  $n$  such that  $t \wedge \langle n \rangle \in T$ .
- (5) For every tree  $T$  and for every element  $t$  of  $T$  holds  $\text{succ } t = \emptyset$  iff  $t \in \text{Leaves}(T)$ .
- (6) The elementary tree of 0 is binary.
- (7) The elementary tree of 2 is binary.

Let us note that there exists a tree which is binary and finite.

A decorated tree is binary if:

(Def.3)  $\text{dom}$  it is binary.

Let  $D$  be a non empty set. Observe that there exists a tree decorated with elements of  $D$  which is binary and finite.

Let us mention that there exists a decorated tree which is binary and finite.

Let us observe that every tree which is binary is also finite-order.

We now state four propositions:

- (8) Let  $T_0, T_1$  be trees and let  $t$  be an element of  $\overbrace{T_0, T_1}$ . Then
  - (i) for every element  $p$  of  $T_0$  such that  $t = \langle 0 \rangle \wedge p$  holds  $t \in \text{Leaves}(\overbrace{T_0, T_1})$  iff  $p \in \text{Leaves}(T_0)$ , and
  - (ii) for every element  $p$  of  $T_1$  such that  $t = \langle 1 \rangle \wedge p$  holds  $t \in \text{Leaves}(\overbrace{T_0, T_1})$  iff  $p \in \text{Leaves}(T_1)$ .
- (9) Let  $T_0, T_1$  be trees and let  $t$  be an element of  $\overbrace{T_0, T_1}$ . Then
  - (i) if  $t = \varepsilon$ , then  $\text{succ } t = \{t \wedge \langle 0 \rangle, t \wedge \langle 1 \rangle\}$ ,
  - (ii) for every element  $p$  of  $T_0$  such that  $t = \langle 0 \rangle \wedge p$  and for every finite sequence  $s_1$  holds  $s_1 \in \text{succ } p$  iff  $\langle 0 \rangle \wedge s_1 \in \text{succ } t$ , and
  - (iii) for every element  $p$  of  $T_1$  such that  $t = \langle 1 \rangle \wedge p$  and for every finite sequence  $s_1$  holds  $s_1 \in \text{succ } p$  iff  $\langle 1 \rangle \wedge s_1 \in \text{succ } t$ .
- (10) For all trees  $T_1, T_2$  holds  $T_1$  is binary and  $T_2$  is binary iff  $\overbrace{T_1, T_2}$  is binary.
- (11) For all decorated trees  $T_1, T_2$  and for arbitrary  $x$  holds  $T_1$  is binary and  $T_2$  is binary iff  $x\text{-tree}(T_1, T_2)$  is binary.

Let  $D$  be a non empty set, let  $x$  be an element of  $D$ , and let  $T_1, T_2$  be binary finite trees decorated with elements of  $D$ . Then  $x\text{-tree}(T_1, T_2)$  is a binary finite tree decorated with elements of  $D$ .

A non empty tree construction structure is binary if:

(Def.4) For every symbol  $s$  of it and for every finite sequence  $p$  such that  $s \Rightarrow p$  there exist symbols  $x_1, x_2$  of it such that  $p = \langle x_1, x_2 \rangle$ .

One can check that there exists a non empty tree construction structure which is binary and strict and has terminals, nonterminals, and useful nonterminals.

The scheme *BinDTConstrStrEx* concerns a non empty set  $\mathcal{A}$  and a ternary predicate  $\mathcal{P}$ , and states that:

There exists a binary strict non empty tree construction structure  $G$  such that the carrier of  $G = \mathcal{A}$  and for all symbols  $x, y, z$  of  $G$  holds  $x \Rightarrow \langle y, z \rangle$  iff  $\mathcal{P}[x, y, z]$

for all values of the parameters.

One can prove the following proposition

- (12) Let  $G$  be a binary non empty tree construction structure with terminals and nonterminals, and let  $t_3$  be a finite sequence of elements of  $\text{TS}(G)$ , and let  $n_1$  be a symbol of  $G$ . Suppose  $n_1 \Rightarrow$  the roots of  $t_3$ . Then
- (i)  $n_1$  is a nonterminal of  $G$ ,
  - (ii)  $\text{dom } t_3 = \{1, 2\}$ ,
  - (iii)  $1 \in \text{dom } t_3$ ,
  - (iv)  $2 \in \text{dom } t_3$ , and
  - (v) there exist elements  $t_4, t_5$  of  $\text{TS}(G)$  such that the roots of  $t_3 = \langle$ the root label of  $t_4$ , the root label of  $t_5\rangle$  and  $t_4 = t_3(1)$  and  $t_5 = t_3(2)$  and  $n_1\text{-tree}(t_3) = n_1\text{-tree}(t_4, t_5)$  and  $t_4 \in \text{rng } t_3$  and  $t_5 \in \text{rng } t_3$ .

Now we present three schemes. The scheme *BinDTConstrInd* concerns a binary non empty tree construction structure  $\mathcal{A}$  with terminals and nonterminals and a unary predicate  $\mathcal{P}$ , and states that:

For every element  $t$  of  $\text{TS}(\mathcal{A})$  holds  $\mathcal{P}[t]$

provided the parameters have the following properties:

- For every terminal  $s$  of  $\mathcal{A}$  holds  $\mathcal{P}[\text{the root tree of } s]$ ,
- Let  $n_1$  be a nonterminal of  $\mathcal{A}$  and let  $t_4, t_5$  be elements of  $\text{TS}(\mathcal{A})$ . Suppose  $n_1 \Rightarrow \langle$ the root label of  $t_4$ , the root label of  $t_5\rangle$  and  $\mathcal{P}[t_4]$  and  $\mathcal{P}[t_5]$ . Then  $\mathcal{P}[n_1\text{-tree}(t_4, t_5)]$ .

The scheme *BinDTConstrIndDef* concerns a binary non empty tree construction structure  $\mathcal{A}$  with terminals, nonterminals, and useful nonterminals, a non empty set  $\mathcal{B}$ , a unary functor  $\mathcal{F}$  yielding an element of  $\mathcal{B}$ , and a 5-ary functor  $\mathcal{G}$  yielding an element of  $\mathcal{B}$ , and states that:

There exists a function  $f$  from  $\text{TS}(\mathcal{A})$  into  $\mathcal{B}$  such that

- (i) for every terminal  $t$  of  $\mathcal{A}$  holds  $f(\text{the root tree of } t) = \mathcal{F}(t)$ ,  
and
- (ii) for every nonterminal  $n_1$  of  $\mathcal{A}$  and for all elements  $t_4, t_5$  of  $\text{TS}(\mathcal{A})$  and for all symbols  $r_1, r_2$  of  $\mathcal{A}$  such that  $r_1 =$  the root label of  $t_4$  and  $r_2 =$  the root label of  $t_5$  and  $n_1 \Rightarrow \langle r_1, r_2 \rangle$  and for all elements  $x_3, x_4$  of  $\mathcal{B}$  such that  $x_3 = f(t_4)$  and  $x_4 = f(t_5)$  holds  $f(n_1\text{-tree}(t_4, t_5)) = \mathcal{G}(n_1, r_1, r_2, x_3, x_4)$

for all values of the parameters.

The scheme *BinDTConstrUniqDef* deals with a binary non empty tree construction structure  $\mathcal{A}$  with terminals, nonterminals, and useful nonterminals, a non empty set  $\mathcal{B}$ , functions  $\mathcal{C}, \mathcal{D}$  from  $\text{TS}(\mathcal{A})$  into  $\mathcal{B}$ , a unary functor  $\mathcal{F}$  yielding an element of  $\mathcal{B}$ , and a 5-ary functor  $\mathcal{G}$  yielding an element of  $\mathcal{B}$ , and states that:

$$\mathcal{C} = \mathcal{D}$$

provided the following requirements are met:

- (i) For every terminal  $t$  of  $\mathcal{A}$  holds  $\mathcal{C}(\text{the root tree of } t) = \mathcal{F}(t)$ ,  
and
- (ii) for every nonterminal  $n_1$  of  $\mathcal{A}$  and for all elements  $t_4, t_5$  of  $\text{TS}(\mathcal{A})$  and for all symbols  $r_1, r_2$  of  $\mathcal{A}$  such that  $r_1 = \text{the root label of } t_4$  and  $r_2 = \text{the root label of } t_5$  and  $n_1 \Rightarrow \langle r_1, r_2 \rangle$  and for all elements  $x_3, x_4$  of  $\mathcal{B}$  such that  $x_3 = \mathcal{C}(t_4)$  and  $x_4 = \mathcal{C}(t_5)$  holds  $\mathcal{C}(n_1\text{-tree}(t_4, t_5)) = \mathcal{G}(n_1, r_1, r_2, x_3, x_4)$ ,
- (i) For every terminal  $t$  of  $\mathcal{A}$  holds  $\mathcal{D}(\text{the root tree of } t) = \mathcal{F}(t)$ ,  
and
- (ii) for every nonterminal  $n_1$  of  $\mathcal{A}$  and for all elements  $t_4, t_5$  of  $\text{TS}(\mathcal{A})$  and for all symbols  $r_1, r_2$  of  $\mathcal{A}$  such that  $r_1 = \text{the root label of } t_4$  and  $r_2 = \text{the root label of } t_5$  and  $n_1 \Rightarrow \langle r_1, r_2 \rangle$  and for all elements  $x_3, x_4$  of  $\mathcal{B}$  such that  $x_3 = \mathcal{D}(t_4)$  and  $x_4 = \mathcal{D}(t_5)$  holds  $\mathcal{D}(n_1\text{-tree}(t_4, t_5)) = \mathcal{G}(n_1, r_1, r_2, x_3, x_4)$ .

Let  $A, B, C$  be non empty sets, let  $a$  be an element of  $A$ , let  $b$  be an element of  $B$ , and let  $c$  be an element of  $C$ . Then  $\langle a, b, c \rangle$  is an element of  $[A, B, C]$ .

Now we present two schemes. The scheme *BinDTC DefLambda* deals with a binary non empty tree construction structure  $\mathcal{A}$  with terminals, nonterminals, and useful nonterminals, non empty sets  $\mathcal{B}, \mathcal{C}$ , a binary functor  $\mathcal{F}$  yielding an element of  $\mathcal{C}$ , and a 4-ary functor  $\mathcal{G}$  yielding an element of  $\mathcal{C}$ , and states that:

There exists a function  $f$  from  $\text{TS}(\mathcal{A})$  into  $\mathcal{C}^{\mathcal{B}}$  such that

- (i) for every terminal  $t$  of  $\mathcal{A}$  there exists a function  $g$  from  $\mathcal{B}$  into  $\mathcal{C}$  such that  $g = f(\text{the root tree of } t)$  and for every element  $a$  of  $\mathcal{B}$  holds  $g(a) = \mathcal{F}(t, a)$ , and
- (ii) for every nonterminal  $n_1$  of  $\mathcal{A}$  and for all elements  $t_1, t_2$  of  $\text{TS}(\mathcal{A})$  and for all symbols  $r_1, r_2$  of  $\mathcal{A}$  such that  $r_1 = \text{the root label of } t_1$  and  $r_2 = \text{the root label of } t_2$  and  $n_1 \Rightarrow \langle r_1, r_2 \rangle$  there exist functions  $g, f_1, f_2$  from  $\mathcal{B}$  into  $\mathcal{C}$  such that  $g = f(n_1\text{-tree}(t_1, t_2))$  and  $f_1 = f(t_1)$  and  $f_2 = f(t_2)$  and for every element  $a$  of  $\mathcal{B}$  holds  $g(a) = \mathcal{G}(n_1, f_1, f_2, a)$

for all values of the parameters.

The scheme *BinDTC DefLambdaUniq* deals with a binary non empty tree construction structure  $\mathcal{A}$  with terminals, nonterminals, and useful nonterminals, non empty sets  $\mathcal{B}, \mathcal{C}$ , functions  $\mathcal{D}, \mathcal{E}$  from  $\text{TS}(\mathcal{A})$  into  $\mathcal{C}^{\mathcal{B}}$ , a binary functor  $\mathcal{F}$  yielding an element of  $\mathcal{C}$ , and a 4-ary functor  $\mathcal{G}$  yielding an element of  $\mathcal{C}$ , and states that:

$$\mathcal{D} = \mathcal{E}$$

provided the parameters satisfy the following conditions:

- (i) For every terminal  $t$  of  $\mathcal{A}$  there exists a function  $g$  from  $\mathcal{B}$  into  $\mathcal{C}$  such that  $g = \mathcal{D}(\text{the root tree of } t)$  and for every element  $a$  of  $\mathcal{B}$  holds  $g(a) = \mathcal{F}(t, a)$ , and
- (ii) for every nonterminal  $n_1$  of  $\mathcal{A}$  and for all elements  $t_1, t_2$  of  $\text{TS}(\mathcal{A})$  and for all symbols  $r_1, r_2$  of  $\mathcal{A}$  such that  $r_1 = \text{the root label of } t_1$  and  $r_2 = \text{the root label of } t_2$  and  $n_1 \Rightarrow \langle r_1, r_2 \rangle$  there exist functions  $g, f_1, f_2$  from  $\mathcal{B}$  into  $\mathcal{C}$  such that  $g = \mathcal{D}(n_1\text{-tree}(t_1, t_2))$

and  $f_1 = \mathcal{D}(t_1)$  and  $f_2 = \mathcal{D}(t_2)$  and for every element  $a$  of  $\mathcal{B}$  holds  $g(a) = \mathcal{G}(n_1, f_1, f_2, a)$ ,

- (i) For every terminal  $t$  of  $\mathcal{A}$  there exists a function  $g$  from  $\mathcal{B}$  into  $\mathcal{C}$  such that  $g = \mathcal{E}$ (the root tree of  $t$ ) and for every element  $a$  of  $\mathcal{B}$  holds  $g(a) = \mathcal{F}(t, a)$ , and
- (ii) for every nonterminal  $n_1$  of  $\mathcal{A}$  and for all elements  $t_1, t_2$  of  $\text{TS}(\mathcal{A})$  and for all symbols  $r_1, r_2$  of  $\mathcal{A}$  such that  $r_1 =$  the root label of  $t_1$  and  $r_2 =$  the root label of  $t_2$  and  $n_1 \Rightarrow \langle r_1, r_2 \rangle$  there exist functions  $g, f_1, f_2$  from  $\mathcal{B}$  into  $\mathcal{C}$  such that  $g = \mathcal{E}(n_1\text{-tree}(t_1, t_2))$  and  $f_1 = \mathcal{E}(t_1)$  and  $f_2 = \mathcal{E}(t_2)$  and for every element  $a$  of  $\mathcal{B}$  holds  $g(a) = \mathcal{G}(n_1, f_1, f_2, a)$ .

Let  $G$  be a binary non empty tree construction structure with terminals and nonterminals. Note that every element of  $\text{TS}(G)$  is binary.

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